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NOTICE OF ALLOWANCE AND FEE(S) DUE

88723 7590 Otis Patent Law 1181 Wade Street Highland Park, IL 60035 08/23/2011

EXAMINER

NEWMAN, MICHAEL A

ART UNIT PAPER NUMBER

2624

DATE MAILED: 08/23/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/696,474 | 10/29/2003 | Carlo Filippo Ratti | MIT_10275T | 6969 |

TITLE OF INVENTION: METHODS AND APPARATUS FOR VISUALIZING VOLUMETRIC DATA USING DEFORMABLE PHYSICAL OBJECT

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 11/23/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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| maintenance fee notificat | tions. | ng the Patent, advance or nerwise in Block 1, by (a ock 1 for any change of address) | Note Fee(s | : A certificate of n | nailing can only be used for | correspondence address as arate "FEE ADDRESS" for or domestic mailings of the for any other accompanying ant or formal drawing, must | |
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| 88723 7590 08/23/2011 Otis Patent Law 1181 Wade Street Highland Park, IL 60035 | | | have | its own certificate (| of mailing or transmission. ificate of Mailing or Trans | | |
| | | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
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| | | | | \$0 | ` <i>`</i> | | |
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 11/23/2011 | |
| EXAM: | INER | ART UNIT | CLASS-SUBCLASS | | | | |
| NEWMAN, M | MICHAEL A | 2624 | 382-154000 | | | | |
| "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME AT PLEASE NOTE: Unlo | ess an assignee is ident | "Indication form ed. Use of a Customer A TO BE PRINTED ON Tiffied below, no assignee | 2. For printing on the pa (1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or agents attor 2 registered patent attor listed, no name will be patent or type data will appear on the patent of the pa | 3 registered patent ely, firm (having as a agent) and the name neys or agents. If norinted. | attorneys 1 member a s of up to o name is 3 | ocument has been filed for | |
| (A) NAME OF ASSIC | GNEE | | (B) RESIDENCE: (CITY | and STATE OR CO | , | oup entity 🚨 Government | |
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| | tus (from status indicated | | | | | | |
| ** | s SMALL ENTITY statu | | | | L ENTITY status. See 37 C | | |
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| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | Registration No | | | |
| This collection of information application. Confident | ation is required by 37 C tiality is governed by 35 Lapplication form to the | FR 1.311. The information U.S.C. 122 and 37 CFR | on is required to obtain or re 1.14. This collection is esti | tain a benefit by the mated to take 12 m | e public which is to file (and inutes to complete, includir naments on the amount of times.) | d by the USPTO to process) ng gathering, preparing, and me you require to complete | |

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| | | | 2624 | | |

DATE MAILED: 08/23/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 144 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 144 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Examiner-Initiated Interview Summary | 10/696,474 | RATTI ET AL. | | | | |
|--|-----------------------------|---------------------------|-------------|--|--|--|
| Examiner-initiated interview Summary | Examiner | Art Unit | | | | |
| | MICHAEL A. NEWMAN | 2624 | | | | |
| All participants (applicant, applicant's representative, PTO personnel): | | | | | | |
| (1) <u>MICHAEL A. NEWMAN</u> . | (3) | | | | | |
| (2) <u>Stephen R. Otis (Reg. No. 64226)</u> . (4) | | | | | | |
| Date of Interview: 16 August 2011. | | | | | | |
| Type: X Telephonic Video Conference Personal [copy given to: Applicant [| applicant's representative] | | | | | |
| Exhibit shown or demonstration conducted: Yes If Yes, brief description: | | | | | | |
| Issues Discussed □101 □112 □102 □103 ☑Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion) | | | | | | |
| Claim(s) discussed: <u>5 and 19</u> . | | | | | | |
| Identification of prior art discussed: <u>N/A</u> . | | | | | | |
| Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.) | | dentification or clarific | cation of a | | | |
| Applicant's Representative was advised that the claims were in condition for allowance. However, claims 5 and 19 appeared to contain minor typographical errors. Applicant's Representative authorized the correction of such errors via an Examiner's Amendment. | | | | | | |
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| Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview. | | | | | | |
| Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised. | | | | | | |
| ☐ Attachment | | | | | | |
| /Michael A Newman/ Examiner, Art Unit 2624 | | | | | | |
| | | | | | | |

Application No.

Applicant(s)

U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010)